

「股票期權長倉HK\$5 佣金」收費計劃  
'HK\$5 Long Stock Options Commission' Plan

條款及細則

**Terms and Conditions:**

1. 選用「股票期權長倉 HK\$5 佣金」收費計劃之客戶須同時申請收取電郵結單 (包括日、月結單)。

Clients who select the 'HK\$5 Long Stock Options Commission' Plan shall opt to receive email statements (including daily and monthly statements).

2. 「股票期權長倉 HK\$5 佣金」收費計劃只限於香港股票期權網上交易的長倉之開倉(即買入認購期權(Long Call)或買入認沽期權(Long Put))及隨後之相關平倉(即相關的沽出認購期權(Short Call)或沽出認沽期權(Short Put)) (如適用)。佣金收費按合約張數計算，為每張 HK\$5。

「股票期權長倉 HK\$5 佣金」收費計劃內的其他操作，收費和普通收費相同，詳情請參閱收費一覽表。當中包括但不限於：

- a. 電話及網上交易的短倉之開倉及隨後之相關平倉；
- b. 電話交易的長倉之開倉及隨後之相關平倉；及
- c. 行使及被行使的股票交收的收費。

客戶可因應投資策略，自行考量參與計劃與否。

The 'HK\$5 Long Stock Options Commission' Plan is only applicable to online trading of stock options traded on the SEHK to opening a long position(s) (i.e., relevant Long Call or Long Put instructions) and subsequent related closing position(s) (i.e., relevant Short Call or Short Put instructions) (if applicable). Commission fees will be calculated on the basis of the number of contracts, each contract costing HK\$5.

Other operations under this plan will be the same as normal charges, please refer to the charge table for details, including but not limited to:

- a. phone and online trading relating to the opening of a Short Position and subsequent related closing position;
- b. phone trading relating to opening of a Long Position and subsequent related closing position; and
- c. fees generated due to securities settlement exercised or being exercised.

Clients can choose to participate in the plan at their own discretion which best suited their investment strategy.

3. 「股票期權長倉 HK\$5 佣金」收費計劃只適用於致富證券有限公司 (「本公司」) 的直屬客戶，隸屬經紀之客戶恕未包括在計劃內。

This plan is only applicable to direct clients of Chief Securities Ltd ("CHIEF"), clients of Account Executives are not included.

4. 除公司通知客戶更改其收費模式，或客戶要求更改外，此收費模式將不設期限，惟本公司有權提供一個月通知後取消此收費模式。

There will be no time limit to this plan unless CHIEF informs the client of changes to the plan or the client requests a change, however CHIEF reserves the right to cancel this plan upon one month's notice.

5. 如客戶需要取消「股票期權長倉 HK\$5 佣金」收費計劃，即代表轉用普通收費。客戶須填寫取消表格並收到本公司通知方可有效取消，一般需時 2 - 5 工作天，期間之交易仍會沿用此收費計劃。

If the client needs to cancel the 'HK\$5 Long Stock Options Commission' Plan, this will mean reverting to the normal charging scheme. Client must fill a cancellation form and wait for CHIEF's notice for effective cancellation, which will usually take 2-5 working days. Any transactions made during the period will continue to be charged according to this plan.

6. 本公司保留隨時終止「股票期權長倉 HK\$5 佣金」收費模式或更改「股票期權長倉 HK\$5 佣金」收費模式及條款之權利，而毋須另行通知。如有任何爭議本公司保留最終決定權。

CHIEF reserves the right to terminate or amend any terms and conditions of the 'HK\$5 Long Stock Options Commission' Plan without prior notice. CHIEF reserves the right to make the final decision in case of disputes.

7. 如本宣傳品中、英文版本有歧異，概以中文版本為準。

Should there be any discrepancy between the English and Chinese versions of this promotion material, the Chinese version shall prevail.

#### 重要注意事項

以上提供之資料及內容僅供參考，並不構成任何交易、招攬、邀請或要約。投資附帶風險，投資者需注意投資項目之價值可升亦可跌，而過往之表現亦不一定反映未來之表現。金融產品買賣的虧損風險可以十分重大；因此，閣下必須仔細考慮並評估產品涉及之風險，或諮詢專業顧問，鑑於自己的財務狀況及投資目標，以確保投資決定適合個人財務狀況及風險承受水平。本公司對任何人因使用本文資料而蒙受的任何直接或間接損失在法律上均不負責。

#### Important Notes

The above information is for reference only. It does not constitute any offer, solicitation, recommendation, comment or any guarantee to the purchase or sale of any investment products or services. The following risk disclosure statements may not disclose all the risks involved. You should undertake your own research and study before you trade or invest. You should carefully consider whether trading or investment is suitable in light of your own financial position and investment objectives. The risk of loss in trading in financial products can be significant, you must carefully consider and assess the risks involved in the product or consult a professional advisor to ensure that your investment decisions are appropriate to your financial situation and risk tolerance level, taking into account your financial circumstances and investment objectives. The Company is not legally responsible for any loss or damage suffered by any person arising from the use of this material.



