

致富證券有限公司 Chief Securities Ltd.

Top Trader Online Trading Platform User Manual



Last Update: 2016-10-25

All rights reserved.

This User Manual is an introduction of the Top Trader Online Trading Platform. The information and contents on this manual are subject to change without notice. Chief Securities Ltd. and its staff accept no liability (whether in tort or contract or otherwise) for any loss or damage arising from any inaccuracies or omissions.





Login Page





Trading Platform

After login successfully, the main page will show as below.

- (1) Upper Left : Price Quote
- (2) Upper Right : Cash Balance & Order Trading
- (3) Bottom : Transaction Detail

	CHIE 損貨 I理財	F					Last I	Glob Logon Date	al Chief e Time: 2	Easy Ф	文 S -25 09:2	ign off 29:51
HSI24491.97 +176	3.95 +0.73%	T/O 36	3.099B HSIF	1 24507	Pre 21		omation pl	Cash Bal	ance	Buy	Sell	Notice
Open Open Day Hi Pay-Lo Prev. Close Ohange Change Change Change Change Lot Size Spread P/E Ratio Pield(%) Distance Currency Listing Date I 12/02 11:38	UNG KONG 151.500 151.500 148.900 150.900 149.600 -1.300 -0.88% 2.446M 366.156M 149.671 151.500/132.0K 1000 9.827 2.326% 3.480 15.223 2.316B 346.498B HKD 01/11/1972 RKET TALK: Melco	BID 149,500 54/95 21.0K (6.0K (17.0K (11.0K (11.0K (11.0K (11.40.43 11:40.27 11:40.27 11:40.27 11:40.27 62/0K High 52/0K High 52/0K High 52/0K Low 1M Hi 1M Lo Crown Soft Beff	P 149.7 149.7 149.7 149.7 3) 31.0K (9) 20.0K (2) 9.0K (2) 9.0K (2) 9.0K (10.0K 144 1000 144 15.0K 144 1000 144 15.000 165.000 165.000 151.800	operty Develop 000 600 415% 5307 113% 5307 113% 5307 113% 5308 100 8574 6) 1451 11451 3538 100 8574 2008 3208 2008 3208 2000 1790 1888 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 3538 <td>ment 35 37 3 KGI Standard Chartere Shaoom Citadel HSBC Citigroup IMC Asia Pacific (149.400) East Asia Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Solo Smart Luk Fook Credit Suisse Hang Seng DBS Mokers Citadel DBS Mokers Citadel Citadel DBS Mokers Citadel DBS Mokers Citadel DBS Mokers Citadel Ci</td> <td>9 ASK 3638 8036 1831 4085 4085 1409 4085 1409 4085 1409 4085 1409 4085 1409 9057 9088 9053 9055 3538 7198 9085 3538 7198 1409 1409 4057</td> <td>Cradel CLSA Instinet I Credit Su Optiver 1 Credit Su UBS UBS UBS UBS UBS UBS UBS UBS UBS UBS</td> <td>Ledge Marke Buying Short Cash I Net As Intere</td> <td>r: t Value: p Power: Sell Value /0: set Value st M.T.D. Cash Orde:</td> <td>e: :: Balance r Tradin</td> <td>e &</td> <td>Ref resh 0.00 0.00 0.00 0.00 0.00 0.00</td>	ment 35 37 3 KGI Standard Chartere Shaoom Citadel HSBC Citigroup IMC Asia Pacific (149.400) East Asia Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Paribas Citadel BNP Solo Smart Luk Fook Credit Suisse Hang Seng DBS Mokers Citadel DBS Mokers Citadel Citadel DBS Mokers Citadel DBS Mokers Citadel DBS Mokers Citadel Ci	9 ASK 3638 8036 1831 4085 4085 1409 4085 1409 4085 1409 4085 1409 4085 1409 9057 9088 9053 9055 3538 7198 9085 3538 7198 1409 1409 4057	Cradel CLSA Instinet I Credit Su Optiver 1 Credit Su UBS UBS UBS UBS UBS UBS UBS UBS UBS UBS	Ledge Marke Buying Short Cash I Net As Intere	r: t Value: p Power: Sell Value /0: set Value st M.T.D. Cash Orde:	e: :: Balance r Tradin	e &	Ref resh 0.00 0.00 0.00 0.00 0.00 0.00
	Whole Orde	ers Queu	ied Orders	Execute	d Orders	Hidden (Orders	Alert				
	Refresh	Details M	odify Car	ncel Rel	ease Hide		orders	Alere				
Investment Balance	Status	Order No	Stoc	Stock Nam	e Bu F	Price	Qty	Exec	Exec	Leav	Action	Orc
Cash Balance												
Account Info				Tra	ansaction	Detail	S					
Settings IPO Express												
Customer Services												
友商有成	高界展業後 aring company	P 🚯 (Ö	G. 5467			2	IEGAHUB " _R	eal Time Quo	te" data provi	ded by MegaH	lub <u>Disclaimer</u>



Input Buy Sell Order

R

Click "B" for buy order or "S" for sell order in trading platform.

S

		D											
		CHIE I貨 I 理財	F			-		Last	Glot Logon Dat	bal) Chief	Easy Ф	文 -25 09:	Sign off 29:51
	恒指24417.65 +1	02.63 +0.42%	成交額 42.	D51B 期指	即月 24424	高水 6		e 古 絵明 🔺 IEGAHL	Cash Bal	ance	Buy	Sell	Notice
	00001 💽 長) 開市	[音業 151.500	買入	⊮ 賣!	房地産間發 出 【予買盤	31 33 47 149.200	■ 賣盤	14					Refresh
滬	最高 最低	151.500 148.900	149.200 65.81%	149.3	300 5467 34.19% 1450	Morgan Sta IMC Asia Pa	nle 5467 cif 7198	Morg 德意思	Ledge	r:			0.00
	前收 現價	150.900 149.200	30.0K (14)	46.0K	(24) 8578 6770	匯豐證券 宏旺	9067	UBS	Marke	t Value:			0.00
	升跌 升跌96	-1.700	26.0K (8) 42.0K (26)	38.0K	(8) 2718 (1) 5361	匯澤	9065	UBS	Buying	g Power:			0.00
認設	成交量	2.808M	26.0K (11)	5.0K	(3) 3538	Citadel	5465	Morg	Short	Sell Value			0.00
牛服		149.636 151.500/122.01/		+ (975宗交易	0513	進豐證券 Eclipse Opti	on 3455	高盛	Cash 1	t/o:			0.00
L.	毎手股數 美価	1000	57.13% 13:13:54 1	000 149	42.87% 7183 .200 B 6389	德意志 Morgan Sta	6387 nle 2073	Morg 巴克家	Net As	sset Value	:		0.00
	左原 市盈率 	9.801	13:13:37 1 13:13:36 2	000 149 000 149	.200 B 8944 .300 A 2846	中銀國際 麥格理資本	3455	高盛 大和君	Intere	st M.T.D.:			0.00
	10 <u>温</u> 平(76) 派息 気吸取利	3.480	13:13:03 1 13:12:23 2	000 149 000 149	.300 A 4085 .300 A -1	Credit Suisse (149.100)	3176	Citigr Citigr					
5,,,,,	日發行股本	2.316B	52週高 52週低	152.000	7133 5361	大華繼顒 星展唯高達	1831	極訊 E Morg	1				
-	10 년 貨幣 上市日期	港元 01/01/0072	1個月高 1個日低	151.600	8592	凱基	3179	Citigr	la	100			_
7	12/02 13:09 D	J中國2014年移	動支付和網絡支	(付業務維持	导強勁增長勢動	<u>آ</u>		6_		R		S	_
										D		5	
A	Order Enquiry	Whole Orde	ers Queue	d Orders	Executed	Orders	Hidden	Orders	Alert				
Q	History	Refresh	Details Mo	lify Ca	ncel Rele	ase Hide	-						
	Investment	Status	Order No	Stoc	Stock Name	Bu	Price	Qty	Exec	Exec	Leav	Action	Orc
\$	Cash Balan												
	Cash Balance												
No.	Cash I/O												
J	Account Info												
	Settings												
IP	IPO Express												
6	Customer												
_	Dervices	由 界回知地		14. L		1							
2		aring company	· 🌾 🄇	D ite its	CC 5467				MEGAHUB "F	Real Time Quot	e" data provid	led by MegaH	lub <u>Disclaimer</u>





Order Placing

Input the order details including Buy or Sell, Stock Code, Order Type, Price and Quantity, etc. Order types includes Enhanced Limit(EL), Auction(AO) ,Special Limit(SL) and Market Order (MO). Please refer to "Order Type Explanation" for details



Order Type Explanation

Input as an at-auction limit order with a specified price during 9:00 am – 9:15 am. An at-auction limit order with a specified price at or more competitive than the final Indicative Equilibrium Price (IEP, in case of buying, the specified price is equal to or higher than the final IEP, or in case of selling, the specified price is equal to or lower than the final IEP) may be matched at the final IEP subject to availability of eligible matching order on the opposite side. An at-auction limit order will be matched in price and time priority at the final IEP. No at-auction limit order will be matched at a price worse than the final IEP.

Enhanced Limit(EL)

Any outstanding at-auction limit orders at the end of the Pre-opening Session will be carried forward to the Continuous Trading Session and treated as limit orders provided that the specified price of that at-auction limit order does not deviate 9 times or more from the nominal price. Such orders will be put in the price queue of the input price.

In the Closing Auction Session (CAS), buy orders with the specified price equal to or higher than the closing price, and sell orders with the specified price equal to or lower than the closing price, may be matched and traded at the closing price. However, an at-auction limit order will not be matched and traded if the closing price is worse than its specified price. The matching priority of at-auction limit orders is lower than at-auction orders.



Auction(AO)	An at-auction order is an order with no specified price and is entered into the AMS/3 for execution at the final Indicative Equilibrium Price (IEP). It enjoys a higher order matching priority than an at-auction limit order and will be matched in time priority at the final IEP. Any outstanding at-auction orders after the end of the Pre-opening Session will be cancelled before the commencement of the Continuous Trading Session. In the CAS, if matching is possible, at-auction orders will be matched and traded at the closing price. At-auction orders will be matched first.
Special Limit(SL)	A special limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. A special limit order has no restriction on the input price as long as the order input price is at or below the best bid price for a sell order or at or above the best ask price for a buy order. Any outstanding special limit order will be canceled and will not be stored in the AMS/3.
Market Order (MO)	Market order is only available from 9:20am to 4:00pm. A market order is an order with no specified price. Market Order is a preset Enhanced Limit Order where the system will automatically submit the order at a price 8 spreads above/below the nominal price at the time when the order is processed. The price of a Held Market Order will be calculated based on the nominal price at the instant it is released. Market Order may not be executed instantly and remain queuing at the market under certain circumstances e.g. for large spread stock. *Nominal price: Determined by HKEX. Please refer to HKEX for details of the determination of nominal price. *Final price is subjected to the change of price by the customer.



Different orders can be entered in different session on a trading day.

Time	Session	Order Type	Enter	Modify	Delete					
00.00.00.45		AO	ОК	ОК	ОК					
09:00 ~ 09:15	Pre-opening Session	EL	ОК	ОК	ОК					
		AO	ОК	NO	NO					
09:15 ~ 09:20	Pre-opening Session	EL	ОК	ОК	ОК					
09:20 ~ 09:30	Temporary Halt	New orders can be entered and outstanding orders can be modified and deleted. However such actions will become effective only after 09:30.								
		Outstanding auction orders (AO) will be cancelled automatically.								
		EL/MO	ОК	ОК	ОК					
09:30 ~ 12:00	Morning Session	SL	ОК	N/A	N/A					
12:00 ~ 13:00	Lunch Break	Outstanding orders can be deleted in this session but such cancellation becomes effectively only after 12:30. Outstanding orders can be modified in this session but such changes become effective only after 13:00. Clients are advised to cancel their outstanding order and input a new								
		EL/MO	ОК	ОК	ОК					
13:00 ~ 16:00	Afternoon Session	SL	ОК	N/A	N/A					
10.01 0.10.00	CAS	AO	ОК	ОК	ОК					
16:01 * 16:06	Order Input Period	EL	ОК	ОК	ОК					
16.06 ~ 16.00	CAS	AO	ОК	NO	NO					
10.00 10.08	No-Cancellation Period	EL	ОК	NO	NO					
16.08 ~ 16.10	CAS	AO	ОК	NO	NO					
16:08 ~ 16:10	Random Closing	EL	ОК	NO	NO					

Orders maybe rejected because of the following reasons. These can be checked by clicking "Detail" on Order Book window:

- The order price is too deviated from the current market price. Your order price can be deviated from current market price by 24 spreads in Morning and Afternoon Session, and 80 spreads in Pre-opening Session.
- There are no such securities when your orders are sell orders.
- Deposit in your account is not enough to buy those securities.
- Types of products are not applicable. CBBCs, warrants and synthetic ETFs are not available until their associated risks have been disclosed to you.
- The order types are not available in that time session.



Odd Lot

Odd lot is the collection of shares which is less than the number of shares in a whole lot. This can be sold by phone trades, trading platforms in website and desktop platform and Chief Sec (MH) app for iPhone and Android. Usually the transaction price for selling odd lot is cheaper than selling in a whole lot.

Condition Trigger Order

"Condition Trigger Order" allows customer to set suitable price order in order to lock profit/cut lost. Customer can also place a Good Till order which is valid for up to 1 month long.

Main point for "Condition Trigger Order"

- 1. The trigger price and condition (Pre-opening session can be included)
- 2. Order valid period (Order can be set valid for up to 1 calendar month)
- 3. For any partially executed orders, the orders with the remaining shares will be sent out to market as long as it is within the order valid period.
- 4. Conditional Buying/Selling Orders will deduct the buying power (Buy)/number of shares (Sell) in advance before executed.

Instruction for "Condition Trigger Order"



Input "Condition" & "Trigger Price" The system will send the order according to this preset condition





Transaction Details

N Order Enquiry	
	t
History	
Investment Balance	1
S Cash Balance	
Cash I/O	
Account Info	9
Settings	(
Customer	5
Services	(

Order Enquiry: Amend or Cancel any outstanding orders queuing in the market today. And show the EXECUTE and QUEUE order

History: Show Transaction Records

Investment Balance: Show the Stock Portfolio

Cash Balance: Show cash Balance, Buying Power and Available withdrawal balance

Cash I/O: Input cash deposit and withdraw instruction

Account Info: Show client's personal information

Setting: Change Login Password, Order Setting, Login ID and Order Confirmation Channel, etc

IPO Express: Press "Go to ChiefEasy". The page is directed to ChiefEasy platform for IPO subscription

Customer Service: Hotline for Securities Trading, Settlement, Fund Deposit and Withdraw and Customer Services

Order Enquiry: Amend or Cancel any outstanding orders queuing in the market today. And show the EXECUTE and QUEUE order



② 致富	CHIEF ^美 理財	F			Last	Global C	chiefEasy	文 Sigr	n off
□ 1020001 (○ 長)102	2.31 +0.42% 质 會業	或交額 52.050B 期	期指即月 24428 居地産間議:	高水 12 () 29 33 49	●	Cash Balance	Buy	Sell	Notice
■ 漏市 1 漏 最高 1 最高 1 最高 1 新心 1 5 4 5 4 5 5 5 5 5 5 5 5 5 5 5 5 5	51.500 51.500 48.900 50.900 149.100 1.800 1.19% 3.355M 01.834M 49.561 51.500/132.0K 58 000 14 1.00/0 14 1.00/0 14 1.00/0 14 1.00/0 14 1.00/1 14 1.00/1 14 1.00/1 1.	百人ので、230 円 149.000 143 44.0K(24) 28.0 49.0K(24) 17.0 31.0K(23) 60.0 11.0K(7) 4.0 交易記様(1195番 282%	責出 【 貫盤: 22.2%5 5467 K(17) 4085 8574 K(7) 1198 K(3) 2077 K(3) 2077 K(1) 9084 41.72%5 3659 41.72%5 3659	149.000 IIII (Asia Pacif Morgan Stanle Credit Suisse 運墾證券 Credit Suisse Morgan Stanle 巴克萊亞洲 富昌 Credit Suisse 董溝 信該	<u>曹盤</u> 14 1198 Credi 3176 Citigr 4087 Credi 9059 UBS 3457 高盛 6387 Morg 3179 Citigr 6386 Morg 0346 大和君 = 0229 Morg 3077 Morg	Ledger: Market Valu Buying Pow Short Sell V Cash I/O: Net Asset Vi	e: er: alue: ulue:		0.00 0.00 0.00 0.00 0.00 0.00
· 市盈率 9 · 市盈率 9 · · · · · · · · · · · · · · · · · · ·	1794 14: 1334% 14: 1334% 14: 5.223 14: 5.223 14: 5.223 5 はたう339B 5 豊元 1 1//1/1/1972 1 市場快訳:台湾版	121:25 1000X 19:53 3000 19:53 10.0K 19:53 10.0K 22週高 152.0 22週高 152.0 22週后 151.6 (個月高 151.6 (個月低 139.0 段市收漲:台積電創	149.000 B 2847 149.000 B 2847 149.000 - 0118 00 6389 00 1686 00 3538 00 1	Morgan Stanie 麥格理資本 UBS 京華山一 Morgan Stanie 華富嘉浩 Citadel	8906 中銀區 7389 Citigr 7219 使意灵 6386 Morg 3179 Citigr +1 (149.	Interest M. I	B	S	0.00
Norder Enquiry	Whole Orders	Queued Order	s Executed	Orders Hid	lden Orders	Alert			
A History	Refresh Det	tails Modify	Cancel Relea	se Hide					
Investment Release	Status	Order No Stoc	Stock Name	Bu Prio	e Qty	Exec Exec.	Leav	Action	Orc
Cash Balance Cash I/O Account Info Settings IPO Express Customer Services			-						

Transaction Details

History: Show Transaction Records

Order Enquiry	Transaction Histo	ry Export				
(History	Exchange: HKG	🖌 Start Date	: 2014/08/12	End Date:	2015/02/12	Enquiry
Investment Balance	Trade Date	Settlement Date	Description		Amount	Currency
S Cash Balance						
Cash I/O						
Account Info						
Settings						
IPO Express						
Customer Services	4					Þ

Cash I/O: Input cash deposit and withdraw instruction



Order Enquiry	History Cash I/O	PPS	
A History	Current Available	0.00 Refresh	Opening Hours
Investment	Currency	HKD	Withdrawal : 12 AM - 1 PM
Balance	Voucher Type	CASHDEP Cash Deposit	Deposit: 12 AM - 4 PM
S Cash Balance	Deposit Method	E-banking Transfer 🗸 🗸 🗸	Cut Off Time
Cash I/O	Bank	HSBC	Withdrawal : 1 PM On Trade Date
Account Info	Amount		Deposit: 4 PM On Trade Date
	Comment		
Settings			
		save clear	
Customer			

Setting:

Change Login Password

Order Enquiry	Password	Order	Logon ID	Confirmation Channels	Statement	Notice					
A History	Account ID		PRT	Q3							
Investment Balance	Logon ID		MEG	MEGAHUB							
S Cash Balance	Old Passwo	ord]						
Cash I/O	New Passw	ord] 1						
Account Info	Comminipas	sword]						
Settings		Submit Reset									
IPO Express											
Customer Services											

Order Setting

	Password	Order	Logon ID	Confirmation Channels	State	ment	Notice			
A History	Show order	entry cor	firmation	V						
Investment Balance	Show create	e/modify/o	ancel order re	esult alert 🗹						
S Cash Balance	Show cancel	l order co	nfirmation		$\mathbf{\lambda}$					
Cash I/O	Show order	related e Submit	ntry in popup Reset	window	Set "Order Entry Confirmation" Page					Page
Settings										
IPO Express										
Customer Services										

Login ID Setting

Order Enquiry	Password Order Logon ID Confirmation Channels Statement Notice								
History	Logon ID : MEGAHUB								
Investment Balance	New Logon ID(Letters should be capitalized) :								
S Cash Balance	(User have to re-login to complete the modification of login ID.)								
Cash I/O	Submit Reset								
Account Info									
Settings									
IPO Express									
Customer									



Order Confirmation Channel

Order Enquiry	Password	Order	Logon ID	Confirmation Channels	Statement	Notice	
History	Email	~			-		
Investment Balance	SMS						
S Cash Balance	Submit	Res	et	Set "Email	" Order Cor	ofirmati	ion Channels
Cash I/O				Set Entail	order cor	mmati	on channels
Account Info							
Settings							
IPO Express							
Customer Services							

Points to Note: Shanghai/ Shenzhen-Hong Kong Stock Connect

Price Quote & Order

1. Comparing with place order in Hong Kong Market





Buy	
Condition Send now	Trigger Condition is not supported
Trigger Price	
Expiry Day Order	•
Apply condition in Pre-Openin	ng Hour 🔲
Apply Reset	Cancel

2. Only cancellation is accepted for queue orders, modification is not permitted.

Instrument Balance: Instrument Balance will display the stock positions in your account

Due to T+1 settlement regulations in A-share, investor is not allowed to sell the stocks which are bought on the same day. Sell Ledger will be updated automatically in trading system according to clients' transaction.





"T+1 Due" is added in "Cash Balance"

	Integrated Balance Cash Balance
T+1 Due	Currency Current Available T+1 Balance Ledger Interest M.T.D
	Cash I/O

Price Limit in Queued Orders

	Upper limit	Lower limit
Sell Order	Last Close Price +10%	Last Close Price -10%
Buy Order	Last Close Price +10%	Best bid -3% or Previous Close Price -10% (whichever higher)

Market Order (MO)

Order preset at +/-10% of the previous closing price (+10% above the closing price for buy, -10% below the

closing price for sell. *Price will be rounded off.

Sell Odd Lot Shares

Only sell orders input is accepted for odd lot; the input for no. of shares of an odd lot sell order is restricted to 7, 107 or 207 shares for a client who holds 207 shares

Trading Hours

Trading Session	SSE Trading Hours	SZSE Trading Hours	Time for SEHK Participants to input Northbound orders
Opening Call Auction	09:15 ~ 09:25	09:15 ~ 09:25	
Continuous Auction (Morning)	09:30 ~ 11:30	09:30 ~ 11:30	09:10 – 11:30 12:55 – 15:00
Continuous Auction (Afternoon)	13:00 ~ 15:00	13:00 ~ 14:57	_
		14:57 ~ 15:00	

Note: at 09:20 – 09:25: SSE/SZSE will not accept order cancellation; 14:57 – 15:00 SZSE will not accept order cancellation

During 09:10-09:15, 09:25-09:30, 12:55-13:00, new orders and cancellation orders input are accepted by SEHK but will not be processed by SSE/ SZSE until SSE's/ SZSE's market open.



Orders that are not executed during the opening call auction session will automatically enter the continuous auction session. For SZSE, Any buy or sell orders not executed during the continuous auction session will automatically enter the closing call auction session.

Holiday Arrangement

Once Hong Kong or shanghai/ Shenzhen public holiday is encountered, trading will be closed. And also, trading may be closed on the day before public holiday. Detailed Trading Calendar can be found in the following link:

http://www.hkex.com.hk/eng/market/sec_tradinfra/chinaconnect/Calendar.htm

Trading orders would be rejected due to the following reasons. Clients may click "Detail" under the order book to check the details:

- the SSE/ SZSE Security subsequently ceases to be a constituent stock of the relevant indices
- the SSE/ SZSE Security is subsequently under "risk alert";
- the corresponding H share of the SSE Security subsequently ceases to be traded on SEHK or the Stock is suspended in SSE/ SZSE
- the Daily Quota Balance drops to zero or is exceeded
- the Aggregate Quota Balance is less than Daily Quota
- Aggregate foreign holdings of 28% is exceeded
- input price is not within a fixed price range

Following are some common system error comment for rejected orders:

System Message	Reason	Handle
Rejected due to quota	Dailu aveta kao alyzadu full	Beware of the quota and reduce
restrictions	Daily quota has already full	the amount
Order cancelled by home		
market /		Make the price closer to the
	Price order is too far from the	nominal price
	nominal price	(please refer to Price Limit in
Rejected by market		Queued Orders)
back-end		
Securities suspended	The stock is not allowed to buy	part of A stocks can only be sold



"ChiefEasy" Customer Service Online Service



"ChiefEasy" provides you all-rounded online customer services. It allows you enjoying FREE price alert , handling fee WAIVED for online IPO application(eIPO), wealth management, check e-statements, change personal information and dividends and rights selection, etc.

1) Login "ChiefEasy"

To login "ChiefEasy", please go to www.chiefgroup.com.hk:

i) Click "Customer Services", then choose "ChiefEasy Online Customer Service"; Or click "log on" directly in "ChiefEasy"

Input Account Number (Number only, for P1234, please input 1234) and Password. Then click "Login"

Hints:

For the first login, please enter "Register Now!" and input the required information. For column of account number, input Number only, for P1234, please input 1234. The first login password will be sent via SMS or EMAIL by client's choice

Login Language ENG 紫 简 Account "Number only, for P1234, please input 1234 Password Except Pacsword 2 Login	New User 3 easy for Registration Register Now!	HOTLINE If you have any enquiries or suggestions, please contact our Customer Service Hotline : 2500 9199
---	---	---

Copyright ©2012 Chief Securities Ltd. All rights reserved | Disclaimers & Privacy Policy Statements



2) Handling Fee WAIVED for online IPO application (eIPO)

IPO application:

(a) Click "IPO"

(b) Choose stock and input applied Quantity

- · Fund will be debited from your stock account
- \cdot The allotted share will be deposited to account in Chief on the first day of listing
- · Refunded amount will be credited to stock account in Chief directly

Amend or Cancel the online IPO application: Amend or Cancel instruction is only accepted before 5:00pm on the day of the subscription deadline.

Check Record : Check all IPO application record which the stock applied within two months

	Superme Benefit: Handling Fee WAIVED for online IPO application *
Easy	自動機 Bitemag
Jur	Apply eIPO Past Records IPO News Application Methods FAQ
	Stock Code Stock Name Currency Logo Fullparment Cut-dff Time Fullparment Fee Margin Applied quantity Account Research Apply
	Currently there are no IPOs
	Refrech
	end of the address sense is instructed. The end-one work sense has 50 memory and particulation (50,000 memory) and the address sense is a sense of the address of the address sense is a sense of the address of the add
	1. "Extension period of application" is only applicable to new subscription, not available to multiple application of same atock, those clients who has been subscripted before the "normal period of
	Approximate in a menutative device from approximation provide a substrate of approximation (and the source of a substrate of approximation app

*The Handling Fee WAIVED for online IPO application is exclusive of commission, stamp duty, transaction levy, trading fee and CCASS fee

3) Check E-Statements

Click "E-Statement", client can check and print daily and monthly statement issued within 6 months.

		View E-Statement	Apply	E-Statement	Statement La	inguage	
	Choose Account	,					
Home							
My Account	October 2016	Select Month					
Wealth	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturda
Management	25	26	27	28	29	30	1
IPO	20				<u>=</u> ×		
E-Statement	2	3	4	5	6	I	8
Modification	9	10	<u>11</u>	12	<u>13</u>	14	15
Entitlement	16	17	<u>18</u>	<u>19</u>	20	21	22
TradingSystem	23	24	25	26	27	28	29
Other Services	30	31	1	2	3	4	5
iBond							



4) Apply E-Statement

(a) Under the page of "Apply E-Statement", input "Email", "First 3 numbers of your ID" and "ChiefEasy Logon Password", then click "Apply"

ChiefEasy 自助通
Home My Account IPO E-Statement Modification Entitlement TradingSystem Other Services Settings

Copyright ©2012 Chief Securities Ltd. All rights reserved | Disclaimers & Privacy Policy Statements

5) Change Personal Information

- (a) Choose "Effective Day" on the top, then input the new information in the correct box. Click "Reset" for re-input. After completed, click "Next Step"
- (b) Fill in the "First three digits of your ID" and "Logon Password", then click "confirm"

ChiefEasy	助通	To celebrate 36th ar	Sitema
CHIEFGROUP	Personal Information Modification		
Home	Please change my		Steps of personal modification → Fill in corresponding items
My Account IPO	personal information 12, Fel from	oruary, 201 -	2 Confirm and verify access3 Finish
E-Statement	Telphone No (Home)	(Mobile) (Office)	Download form
Modification	(Fax)		After complete the form, you can submit to us via email, fax or by hand
TradingSystem	Address		Download Here
Other Services			Veed Help?
Settings	Email]	Please contact our customer services hotline:
	Bank Info Name -	Account No Currency -	
	Remarks		
	*Please fill in the latest informa	tion only	
	Next Step Reset		

For trading CBBCs, Warrants and ETFs

a) Under the "Other Services" platform, click "Structured Products Risk Disclosure" on the top



b) Client must read the risk disclosure at least 20 seconds

c) Click "Agree"

d) Answer 3 questions for each product

e) If all of three questions are correct, then the product is showed "Tradable"

f) If one of the questions is incorrect, client should answer again until all questions are correct. Hence the product is showed "Tradable"

	助通 To celebrate 36th anniversary of CHIEF	Sitemap
	Details and Risk Disclosure Statement of AHFT Structured Products Risk Disclosure	
	Thank you for choosing Chief Securities Ltd. as your investment agent.	
Home My Account IPO E-Statement	In order to protect the interests of investors, the Securities and Fubres Commission (SFC) would start to implement the revised Code of Conduct from June 4, 2011. According to paragraph 5.14 of the Code of Conduct, where a client without knowledge of derivalives whiches to purchase a derivative product, the licensed or registered person needs to explain the relevant risks associated with the derivative products to the client. Chief Securities have alwaye benearby the highest standard of conduct and put the interests of our clients in our top priority in these 32 years, in response to the review explore and compared and put the interests of our clients and without investore accurate the reviewed code, effective from June 4, 2011, if you have been characterized as without in these 32 years, in response to the reviewed code, effective from June 4, 2011, if you have been characterized as without in these 32 years. In response to the reviewed code, effective from June 4, 2011, if you have been characterized as without in these starts and ways because a compared to the reviewed reviewed and the tradement of the reviewed reviewed and the reviewed reviewed reviewed and the reviewed reviewe	
Modification Entitlement TradingSystem Other Services	Intervence of entraines, to a rotating of each of plantase a derivative planta in an action of an exchange (except seming) until you tilly understand and accept the risks associated with the derivatives. Please remind the different of structured products belows: Caliable bull/bear contracts (CRBCs)	
Settings	Tradable Read risk disclosure Derivative Warrants Tradable Exchange Traded Funds (ETFs) Tradable Read risk disclosure Tradable If you are able to provide proves from any of the followings, starting from June 4, 2011, you would be exempted and still be able to purchase the derivative products:	
	 has executed five or more transactions in the related derivative products within the past three years; undergone training or attended courses on derivative products; or current or previous work experience related to derivative products. If you do not wish to purchase a particular derivatives starting from June 4, 2011, you could be exempted from accepting the explanation of the risk associated with the derivatives from a scheme (if you do not wish to purchase a particular derivatives from scheme (if you wish to purchase those derivatives in the future, you still need to accept our explanation of the risks associated. We could not guarantee that explanation or could be given timmediately upon your request. 	

End